

17/69 U.S. PTO

UTILITY  
PATENT APPLICATION  
TRANSMITTALonly for new non-provisional applications under 37 CFR  
1.53(b))

Attorney Docket No.

09792909-5818

First Named Inventor or Application Identifier

Yozo Tanaka

Express Mail Label No: EV328249992US

ADDRESS TO: Mail Stop: Patent Application  
Commissioner for Patents  
P.O. Box 1450  
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10/807926

032404

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application  
contents.

## ACCOMPANYING APPLICATION PARTS

1. ☒ Specification Total Pages 20
2. ☒ Drawing(s) (35USC 113) Total Pages 5
3. ☒ Declaration and Power of Attorney Total Pages 4
- a. ☒ Unexecuted(original or copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14  
completed) [Note Box 4 Below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior appln.  
see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which  
a Copy of the oath or declaration is supplied under Box  
3b, is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.
5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document to follow (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application,  
Statement(s) Status still proper and desired
12. ☒ Certified copy(ies) of Japanese priority document(s)  
No(s). P2003-092330 filed March 28, 2003, and P2004-038488  
filed February 16, 2004.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of application No:

## CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$770.00
TOTAL CLAIMS	5		\$18.00	
INDEPENDENT CLAIMS	1		86.00	
	ANY MULTIPLE DEPENDENT CLAIMS? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO		290.00	290.00
			TOTAL FEE	\$1,060.00

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.
- ☒ Please charge attorney's firm American Express Account No. 378571697401002 in the amount of \$ 1,060.00 to cover the above fees. PTO Form 2038 is enclosed for that purpose.
- ☐ A check in the amount of \$ to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal LLP  
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SIGNATURE

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Date: March 24, 2004

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March 24, 2004

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*West Palm Beach*

RE: New U.S. Application for Letters Patent entitled  
"AUDIO APPARATUS HAVING TUNER UNIT AND DIGITAL  
REPRODUCING UNIT"  
Applicant(s): Yozo Tanaka, et al.  
Attorney Docket No. 09792909-5818

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,  
including 5 claims (1 independent w/multiple), 5 sheets of drawings (Figs. 1-6) and the filing  
fee of \$1,060.00 on behalf of

YOZO TANAKA, MASAHIRO OTSU, HIROTAKE OOSUKA  
and MASAYUKI MIZUKI

and request that the application be assigned a serial number and filing date pursuant to the  
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL LLP

By:

David R. Metzger



DRM:kat  
Enclosures